



Attorney Docket 161,700-088
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

BARBUT

Serial No.: 10/676,814

Filed: September 30, 2003

For: **DEVICES AND METHODS FOR
PREVENTING DISTAL
EMBOLIZATION DURING
INTERVENTIONAL PROCEDURES**

Group Art Unit: 3763

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

December 29, 2003
Date of Deposit
IR1:1051009.1

Cynthia B. Pacheco
Cynthia B. Pacheco

In accordance with §1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the above-identified application, and therefore no fee is required.

In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in one or more of the prior U.S. Applications: U.S. Application Serial No. 09/909,642, filed July 20, 2001, now U.S. Patent No. 6,626,886, which is a continuation of U.S. Application Serial No. 09/687,150, filed October 12, 2000, now U.S. Patent No. 6,623,471, which is a continuation of U.S. Application Serial No. 09/287,252, filed April 7, 1999, now U.S. Patent No. 6,146,370, by BARBUT, Denise R., et al. for which a claim for priority under 35 U.S.C. §120 has been made in the instant application. Accordingly, Applicants will provide duplicate copies in respect of the present case only if the Examiner so desires.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-2862.

Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: December 29, 2003

By Diane K. Wong
Diane K. Wong, Reg. No. 54,550
Attorneys for Applicants

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2	Attorney Docket Number	161,700-088
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Complete if Known

Application Number	10/676,814
Filing Date	September 30, 2003
First Named Inventor	BARBUT
Group Art Unit	3763
Examiner Name	Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
AA	4,921,478	Solano et al.		5/1990		
AB	5,423,742	Theron		6/1995		
AC	5,599,307	Bacher et al.		2/1997		
AD	5,765,568	Sweezer, Jr. et al.		6/1998		
AE	5,769,812	Stevens et al.		6/1998		
AF	5,833,645	Lieber et al.		11/1998		
AG	5,833,650	Iman		11/1998		
AH	5,938,645	Gordon		8/1999		
AI	5,312,344	Grinfeld et al		5/1990		
AJ	6,206,868	Parodi		3/2001		
AK	5,478,309	Sweezer et al.		12/1995		
AL	5,674,198	Leone		10/1997		
AM	5,755,682	Knudson et al.		5/1998		
AN	5,836,905	Lemelson et al.		11/1998		
AO	6,013,085	Howard		1/2000		
AP	6,146,370	Barbut		11/2000		
AQ	6,206,868	Parodi		3/2001		
AR	6,348,063	Yassour et al.		2/2002		
AS						
AT						

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
AU	WO	WO99/45835			Parodi	09/1999		
AV								
AW								
AX								
AY								
AZ								
BA								
BB								
BC								

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet

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of

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First Named Inventor	BARBUT
Group Art Unit	3763
Examiner Name	Not Yet Assigned

Attorney Docket Number

161,700-088

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BD	Theron et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," American Society of Neuroradiology, 11:869-874, Sep./Oct. 1990	
	BE		
	BF		
	BG		
	BH		
	BI		
	BJ		
	BK		
	BL		
	BM		
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